

**REQUEST FORM FOR  
APPLICATION UNDER 37 CFR 1.53(b)**

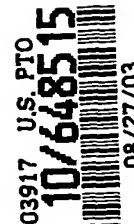
DOCKET NUMBER: 60188-631

Prior Application:

Art Unit: **2814**

Examiner: **Weiss**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

This is a Request for filing a **Divisional** application under 37 CFR 1.53(b) of pending prior application

Serial No. **09/964,521**, filed on **September 28, 2001**, entitled **SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR FABRICATING THE SAME**, by the following named inventors: Masataka KUSUMI, Seiki OGURA.

1. ☒ I hereby state that the enclosed application contains no new matter.
2. Oath or Declaration
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ Deletion of inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
3. ☒ Incorporation By Reference (useable if Box 2b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
4. ☐ Preliminary Amendment is enclosed.
5. ☒ An Information Disclosure Statement and PTO1449 Form are submitted herewith.
6. ☒ Cancel claims 4-7.

7. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 6 above:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	3	-20	0	\$18.00 =	\$0.00
Independent Claims	1	-3	0	\$84.00 =	\$0.00
Basic Application Fee					\$750.00
If multiple dependent claims are presented, add \$0.00					\$0.00
Total Application Fee					\$750.00
Subtract ½ if small entity					\$0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$750.00</b>
<b>AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417</b>					<b>\$750.00</b>

- 7a. ☐ Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- 7b. ☐ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.
- 8a. ☒ **PLEASE CHARGE DEPOSIT ACCOUNT 500417 in the amount of \$750.00**
- 8b. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees to maintain the pendency of the parent application Serial No. 09/964,521 or credit any overpayment to Deposit Account No. 500417.
9. ☒ Amend the specification by inserting before the first line the sentence:  
  
--This application is a **divisional** of Application Serial No. 09/964,521 filed **September 28, 2001**--
10. ☒ Priority of Application Serial No. 2000-298306 filed on **September 29, 2000**, in **Japan** is claimed under 35 USC 119. The certified priority document was filed in Serial No. 09/964,521 on **September 28, 2001**.
11. ☒ The prior application is assigned of record to  
  
**MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.**  
Osaka, JAPAN  
  
and  
  
**HALO LSI Design and Device Technologies Inc.**  
Wappingers, NEW YORK
12. ☒ The power of attorney in the prior application is to:  
  
McDermott, Will & Emery
13. ☐ Also enclosed:

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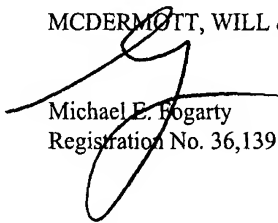
14. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until .

Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDermott, Will & Emery  
600 13th Street, N.W.  
Washington, DC 20005-3096  
**CUSTOMER NUMBER 20277**

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Michael E. Fogarty  
Registration No. 36,139

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**Date: August 27, 2003**